

**HUDSON RIVER-BLACK RIVER REGULATING DISTRICT
AUDIT COMMITTEE MEETING MINUTES**

Sacandaga Field Office Conference Room
737 Bunker Hill Road
Mayfield, NY 12117
April 13, 2021

Committee Chair Albert Hayes called the meeting to order at 11:02 A.M.

PLEDGE OF ALLEGIANCE

ROLL CALL

Present: Area Administrator John Hodgson; and Compliance Officer Stephanie Ruzycky.

Video Feed: Committee Chair Albert J. Hayes; Committee Members Jeffrey Rosenthal, Kenneth DeWitt and Richard Bird; Board Members Timothy J. Reagan, and Alfred J. Candido, Jr.; Executive Director John C. Callaghan; General Counsel Robert P. Leslie; Chief Engineer Robert S. Foltan; and Chief Fiscal Officer Timothy Maniccia.

MOTION TO ADOPT COMMITTEE MEETING AGENDA

Mr. Hayes asked for a motion to adopt the meeting agenda. Mr. Rosenthal so moved. Mr. Bird seconded. The Board approved the motion by unanimous vote.

APPROVAL OF THE NOVEMBER 10, 2020 AUDIT COMMITTEE MEETING MINUTES

Mr. Hayes asked for a motion to approve the November 10, 2020 Audit Committee meeting minutes. Mr. Rosenthal moved to approve the Minutes of the Committee’s November 10, 2020 meeting. Mr. DeWitt seconded. The Committee approved the motion by unanimous vote.

COMMITTEE BUSINESS

- a. New Business
 - i. Motion to advance to the full Board & recommend the Board adopt a resolution authorizing the Executive Director to enter into contract C012021 with Galleros Robinson for the not to exceed amount of \$25,400.00, plus expenses up to \$1,200.00 to perform audit services for fiscal year ending June 30, 2021 - Mr. Maniccia

Committee Chair Hayes asked Mr. Maniccia to present the resolution. Mr. Maniccia reported that consistent with the District’s goal to consider MWBE firms for open discretionary contracts, the District engaged Galleros Robinson Certified Accountants, LLP (Galleros Robinson) in May 2019. Resolution 19-25-05 authorized the initial audit services agreement and

further authorized the Regulating District to extend the audit services agreement for one additional year; which it did by adopting resolution 20-16-05. Mr. Maniccia noted that, to date, Galleros Robinson has satisfactorily completed the independent audit for fiscal years ending June 30, 2019 and June 30, 2020 in a satisfactory manner and recommended the Regulating District continue to engage Galleros Robinson through June 30, 2021. He explained that, pursuant to Public Authorities law §2802(4), the certified public accounting firm providing the independent annual audit will be prohibited from providing audit services to the authority if the lead or coordinating audit partner, having primary responsibility for the audit or reviewing the audit, has performed audit services for that issuer in each of the five previous fiscal years of such authority. Mr. Maniccia noted that, to date, Galleros Robinson has ably completed independent audits only for fiscal years ending June 30, 2019 and June 30, 2020. He also noted that Nora Galleros, Partner in Charge, has agreed to perform the audit for the year ending June 30, 2021 at an amount not to exceed \$25,400.

Committee Chair Hayes asked for a motion. Mr. Rosenthal moved to approve and advance to the full Board the resolution authorizing the Executive Director to enter into contract C012021 with Galleros Robinson for the not to exceed amount of \$25,400.00, plus expenses up to \$1,200.00 to perform audit services for fiscal year ending June 30, 2021. Mr. Bird seconded and the Board approved the motion by unanimous vote.

ADJOURNMENT

There being no further business to come before the Audit Committee, Mr. Rosenthal moved to adjourn the meeting. Mr. Bird seconded it. The motion was unanimously approved. The committee meeting adjourned at 11:09 A.M.

Respectfully submitted,

Robert P. Leslie
Secretary

Mark M. Finkle
Chairman