

**HUDSON RIVER – BLACK RIVER REGULATING DISTRICT  
AUDIT COMMITTEE MEETING MINUTES**

Sacandaga Field Office  
737 Bunker Hill Road  
Mayfield, NY 12117

Hudson River Area Office  
575 Broadway, Fl. 3  
Albany, New York 12207

**April 12, 2022  
10 AM**

Participants were invited to join the meeting via computer, tablet or smartphone.

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**CALL TO ORDER**

Committee Chair Albert Hayes called the meeting to order at 10:03 A.M.

**PLEDGE OF ALLEGIANCE**

**ROLL CALL**

**Present:** Committee Chair Albert J. Hayes; Board Member Timothy J. Reagan; Executive Director John C. Callaghan, and Compliance Officer Stephanie Ruzycky.

**Video Feed:** Committee Members Kenneth F. DeWitt and Richard Bird; Board Chair Mark Finkle; Board Members Alfred J. Candido, Jr. and Nicole T. Allen; General Counsel Robert P. Leslie, Chief Engineer Robert S. Foltan, and Chief Fiscal Officer Timothy Maniccia.

**MOTION TO ADOPT COMMITTEE MEETING AGENDA**

Mr. Hayes asked for a motion to adopt the meeting agenda. Mr. DeWitt so moved. Mr. Bird seconded. The Board approved the motion by unanimous vote.

**APPROVAL OF THE NOVEMBER 9, 2021 AUDIT COMMITTEE MEETING MINUTES**

Mr. Hayes asked for a motion to approve the November 9, 2021 Audit Committee meeting minutes. Mr. DeWitt moved to approve the Minutes. Mr. Bird seconded. The Committee approved the motion by unanimous vote.

## COMMITTEE BUSINESS

- a. New Business
  - i. Motion to Approve and Advance to the Full Board a Resolution to Award Audit Services Work to Galleros Robinson Certified Public Accountants, LLP for Fiscal Year Ending June 30, 2022 - *Mr. Maniccia*

Committee Chair Hayes asked Mr. Maniccia to present the resolution. Mr. Maniccia reported that consistent with the District's goal to consider MWBE firms for open discretionary contracts, the District engaged Galleros Robinson Certified Public Accountants, LLP (Galleros Robinson) in May 2019 via contract C012019 pursuant to resolution 19-25-05; later extending the contract a year in May 2020 pursuant to resolution 20-16-05 and extending the engagement a third year via contract C012021 pursuant to resolution 21-15-04. Mr. Maniccia noted that pursuant to Public Authorities Law §2802(4), the certified public accounting firm providing the independent annual audit will be prohibited from providing audit services to the authority if the lead or coordinating audit partner, having primary responsibility for the audit or reviewing the audit, has performed audit services for that issuer in each of the five previous fiscal years of such authority. Mr. Maniccia noted that, to date, Galleros Robinson has ably completed independent audits only for fiscal years ending June 30, 2019, June 30, 2020 and June 30, 2021. Mr. Maniccia recommended that the Committee approve and advance to the full Board the resolution authorizing the Executive Director to exercise the first of two one-year options included in contract C012021. This engagement will address Fiscal Year ended June 30, 2022 and will leave a single one-year option remaining for subsequent years. Galleros Robinson will perform these duties for \$25,925 plus \$1,200 for travel expenses (if needed).

Committee Chair Hayes asked for a motion. Mr. DeWitt moved to approve and advance to the full Board the resolution authorizing the Executive Director to exercise the first of two one-year options included in contract C012021 with Galleros Robinson for the not to exceed amount of \$25,925.00, plus expenses up to \$1,200.00 to perform audit services for fiscal year ending June 30, 2022. Mr. Bird seconded and the Board approved the motion by unanimous vote.

## ADJOURNMENT

There being no further business to come before the Audit Committee, Mr. Bird moved to adjourn the meeting. Mr. DeWitt seconded. The motion was unanimously approved. The committee meeting adjourned at 10:10 A.M.

Respectfully submitted,

Robert P. Leslie  
Secretary

Mark M. Finkle  
Chairman