Authority Mission Statement and Performance Measurements
Annual Review – 3/10/2026

Name of Public Authority: The Hudson River – Black River Regulating District

Public Authority's Mission Statement:
The mission of the Hudson River-Black River Regulating District is to construct, maintain, and operate reservoirs in the upper Hudson River and Black River watershed, including the Sacandaga, Indian, Black, Moose, and Beaver Rivers for the purpose of regulating the flow of streams or rivers, when required by public welfare, including public health and safety. Regulating reservoirs operated by the Regulating District include Great Sacandaga Lake, Indian Lake, Stillwater Reservoir, Sixth Lake, and Old Forge. Benefits derived through the successful completion of the mission include, but may not be limited to, flood protection to the public corporations (counties) and parcels of real estate (power plants) downstream of said impoundments; low flow augmentation, to provide sufficient water flow enabling downstream wastewater treatment plants to avoid increased treatment costs associated with waste discharge to lower volume rivers and enabling downstream hydroelectric plants to generate low cost hydroelectric power in the hot summer months when rates are generally highest.

Date Adopted: First adopted on November 5, 2007. Re-affirmed March 8, 2011 as part of the Regulating District's Annual Report.
Reviewed 9/24/2012, 5/13/2014, 5/12/2015, 5/10/2016, 5/09/2017, 5/08/2018, 5/14/2019, 5/12/2020, 3/09/2021, 3/8/2022, 3/14/2023, 3/12/2024 & 3/11/2025

List of Performance Goals: (If additional space is needed, please attach):

Performance Goals: Review

- Provide flood protection and low flow augmentation through reservoir releases at Great Sacandaga Lake, Indian Lake, Stillwater Reservoir, Old Forge Reservoir, and Sixth Lake Reservoir – **Accomplished/Ongoing**
- Adhere to Upper Hudson/Sacandaga River Offer of Settlement – **Accomplished/Ongoing**
- Adhere to operating plans for each reservoir – **Accomplished/Ongoing**
- Provide public safety through reduction of downstream peak flow and elevations – **Accomplished/Ongoing**
- Provide public information pertinent to the mission – **Accomplished/Ongoing**
- Maintain safe facilities – **Accomplished/Ongoing**
- Administer and manage New York State lands surrounding Great Sacandaga Lake in accordance with applicable statute, Board policy, and Rules & Regulations– **Accomplished/Ongoing**
- Adopt and execute a balanced three-year budget and financial plan in accordance with the Regulating District's enabling statute– **Accomplished/Ongoing**
- Comply in all material respects with the Regulating District's investment policies, applicable laws and regulations related to investments, and the New York State

Office of the State Comptroller Investment Guidelines for Public Authorities – **Accomplished/Ongoing**

- Maintain complete and accurate accounting practices and reporting in accordance with Governmental Accounting Standards Board requirements– **Accomplished/Ongoing**
- Provide exemplary customer service to Great Sacandaga Lake permit holders– **Accomplished/Ongoing**
- Deliver projects undertaken in conjunction with the Regulating District's capital construction program on schedule and within budget – **Accomplished/Ongoing**

Metric:

- Upper Hudson/Sacandaga River Offer of Settlement
- Reservoir (target elevation) rule curves
- River flow thresholds / limits included in Offer of Settlement and operating plans
- Occurrence and extent of flooding
- Customer satisfaction survey
- Safety inspections completed by professional engineering staff, FERC, and DEC
- Compliance with DEC, APA and others
- Annual independent audits, Public Authority Reporting compliance
- Monthly Chief Engineer & CFO Reports

Additional Questions:

1. ***Have the Board Members acknowledged that they have read and understood the mission of the Public Authority***?

Yes

2. ***Who has the power to appoint the management of the Public Authority***?

The Regulating District Board.

3. ***If the Board appoints management, do you have a policy you follow when appointing the management of the public authority***?

Article VII of the Regulating District's Bylaws establishes the authority and duty of appointed staff management and enumerates the qualifications necessary for appointment. The Regulating District Board adopted a written Management Hiring Policy by resolution 10-32-06 on 06/08/2010.

4. ***Briefly describe the role of the Board and the role of management in the implementation of the mission***.

The Board is the primary maker of policy for the Hudson River – Black River Regulating District and directs senior management in the exercise of all power and authority granted to the

Regulating District by Article 15, Title 21 of the Environmental Conservation Law.

The Board:

- Reviews/Monitors Hudson River-Black River Regulating District's reservoir operational performance against clearly defined performance objectives;
- Ensures performance goals are attained;
- Makes sure that the Hudson River-Black River Regulating District possesses the financial and other resources necessary to realize its visions and carry out its mission fully;
- Ensures that all Board Members are fully engaged in the governance process and that the resources they bring to the Board are fully utilized in governing;
- Provides Appellate Review of management's land management determinations;
- Takes accountability for its own performance as a governing body.

Management:

- Determines the quantity of water released;
- Monitors river flow;
- Evaluates key measurements; elevation, flow, storage, precipitation, and snow;
- Conducts inspections;
- Develops and implements a capital project plan;
- Supervises land management activities;
- Communicates with external and internal customers.

5. ***Has the Board acknowledged that they have read and understood the responses to each of these questions***?

I acknowledge that I have read and understood the responses to the questions answered above:

Mark M. Finkle – Chairperson _____

Kenneth F. De Witt – First Vice Chairperson _____

Nicole T. Allen – Second Vice Chairperson _____

Alfred J. Candido, Jr. – Board Member _____

Albert J. Hayes – Board Member _____

Richard Bird – Board Member _____

Timothy J. Reagan – Board Member _____